

DT14 Rec'd PCT/PTO 14 JAN 2005

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#9

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wenbin Dang

Application No.: 10/500,795 ✓

Int'l Filing Date: January 9, 2003

For: Compositions for Treatment of  
Central Nervous System Neoplasms, and  
Methods of Making and Using the Same

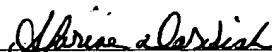
Examiner: Not yet assigned

Art Unit: Not yet assigned

Attorney Docket No.: GPT-030.01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450 on January 11, 2005.

  
Shirine Darvish

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 (b)(3)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the requirements of 37 C.F.R. 1.56 and 1.97(b)(3), submitted herewith on Form PTO-1449 is a list of publications identified in a communication from the United States Patent and Trademark Office issued in a related application. Applicants respectfully request that the Examiner consider the listed publications and indicate they were considered by making appropriate notations on the attached Form 1449. Furthermore, pursuant to 37 C.F.R. § 1.98 (2)(i), Applicants have not enclosed copies of cited U.S. applications or publications.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that the cited documents are material or constitute "prior art." If the Examiner applies the listed documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the referenced documents be applied against the claims of the present application.

Our Docket No.	S.N.	Filing Date	Status	Title	Listed on 1449
GPT-1.02	09/070,204	4/30/98	Issued as U.S. Patent No. 6,403,675	Biodegradable Compositions Comprising Poly(Cycloaliphatic Phosphoester) Compounds, Articles, and Methods for Using the Same	yes
GPT-1.03	10/011,570	12/4/01	Issued as U.S. Patent No. 6,800,672	Biodegradable Compositions Comprising Poly(Cycloaliphatic Phosphoester) Compounds, Articles And Methods For Using The Same	yes
GPT-1.04	10/894,407	7/19/04	Pending	Biodegradable Compositions Comprising Poly(Cycloaliphatic Phosphoester) Compounds, Articles And Methods For Using Same	no
GPT-5.01	09/053,649	4/2/98	Issued as U.S. Patent No. 6,166,173	Biodegradable Polymers Chain-Extended By Phosphates, Compositions, Articles And Methods For Making And Using The Same	yes
GPT-5.02	09/654,326	9/1/00	Issued as U.S. Patent No. 6,376,644	Biodegradable Polymers Chain-Extended By Phosphates, Compositions, Articles And Methods For Making And Using The Same	yes
GPT-5.03	10/047,941	1/15/02	Published as US-2002-0151617 on 10/17/02; continuation of the '644 patent	Biodegradable Polymers Chain-Extended By Phosphates, Compositions, Articles And Methods For Making And Using The Same	yes

GPT-6.02	09/053,648	4/2/98	Issued as U.S. Patent No. 6,322,797	Biodegradable Terephthalate Polyester-Poly(Phosphate) Polymers, Compositions, Articles And Methods For Making And Using The Same	yes
GPT-6.03	09/921,297	8/2/01	Issued as U.S. Patent No. 6,600,010	Biodegradable Terephthalate Polyester-Poly(Phosphate) Polymers, Compositions, Articles And Methods For Making And Using The Same	yes
GPT-7.01	09/276,866	3/26/99	Issued as U.S. Patent No. 6,537,585	Methods And Compositions For Treating Solid Tumors	yes
GPT-7.02	10/357,292	2/03/03	Published as US-2003-0203033 on 10/30/03; continuation of the '585 patent	Methods and Compositions for Treating Solid Tumors	yes
GPT-9.01	09/227,852	1/11/99	Issued as U.S. Patent No. 6,350,464	Methods For Treating Ovarian Cancer, Poly(Phosphoester) Compositions, And Biodegradable Articles For Same	yes
GPT-9.02	09/819,376	3/28/01	Issued as U.S. Patent No. 6,479,067	Methods For Treating Ovarian Cancer, Poly(Phosphoester) Compositions, And Biodegradable Articles For Same	yes
GPT-9.03	10/264,793	10/04/02	Issued as U.S. Patent No. 6,641,833	Methods For Treating Ovarian Cancer, Poly(Phosphoester) Compositions, And Biodegradable Articles For Same	yes
GPT-9.04	10/641,301	8/14/03	Pending; continuation of '833 patent	Methods For Treating Ovarian Cancer, Poly(Phosphoester) Compositions, And Biodegradable Articles For Same	no
GPT-10.02	09/098,620	6/27/98	Issued as U.S. Patent No. 6,008,318	Two Stage Polymerization for High Molecular Weight	yes
GPT-15.01	09/165,373	10/02/98	Issued as U.S. Patent No. 6,419,709	Biodegradable Terephthalate Polyester-Poly(Phosphite) Compositions, Articles, And Methods Of Using The Same	yes
GPT-16.01	09/165375	10/2/98	Issued as U.S. Patent No. 6,153,212	Biodegradable Terephthalate Polyester-Poly(Phosphonate) Compositions, Articles And Method Of Using The Same	yes
GPT-16.02	09/722,848	11/27/00	Issued as U.S. Patent No. 6,485,737	Biodegradable Terephthalate Polyester-Poly(Phosphonate) Compositions, Articles And Method Of Using The Same	yes
GPT-17.01	07/744,291	8/13/91	Issued as U.S. Patent No. 5,176,907	Biocompatible and Biodegradable Poly(phosphoester-Urethanes)	yes

GPT-21.01	09/885,085	6/21/00	Issued as U.S. Patent No. 6,455,665	Improved Polymers and Polymerization Processes	yes
GPT-24.01	09/976,283	10/12/01	Published as US-2002-0198135 on 12/26/02	Compositions for Release of Radiosensitizers, and Methods of Making and Using the Same	yes
GPT-25.01	10/200,040	7/19/02	Published as US-2003-0133903 on 7/17/03	Compositions for Treatment of Prostate Cancers, and Methods of Making and Using Same	yes
GPT-26.01	10/199,953	7/19/02	Published as US-2003-0134892 on 7/17/03	Compositions for Treatment of Head and Neck Cancers and Methods of Making and Using the Same	yes

Applicants have listed dates of publication on the attached PTO-1449 for the cited documents based on information presently available to the undersigned. However, the listed publication dates should not be construed that the information in the cited documents was actually published or otherwise publicly available on the date indicated.

Under 37 C.F.R. § 1.97 (b)(3), this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits; therefore, no fee is believed to be due in connection with this submission. However, the Commissioner is authorized to charge any deficiencies or credit any overpayment to/from our **Deposit Account, No. 06-1448, Reference GPT-030.01.**

Date: January 11, 2005

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Respectfully Submitted,




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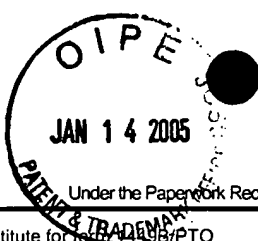
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**Complete if Known**

<i>Application Number</i>	10/500,795
<i>Filing Date</i>	January 9, 2003
<i>First Named Inventor</i>	Wenbin Dang
<i>Art Unit</i>	Not yet known
<i>Examiner Name</i>	Not yet known
<i>Attorney Docket Number</i>	GPT-030.01

## U.S. PATENT DOCUMENTS

[illegible]



PTO/SB/08b(08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 3

### Complete if Known

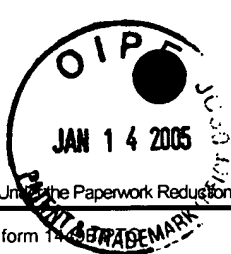
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Art Unit	Not yet known
Examiner Name	Not yet known
Attorney Docket Number	GPT-030.01

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLA SS	Translation	
						YES	NO
	DZ	WO 94/23699	10/27/94	PCT			
	EA	WO 95/35097	12/28/95	PCT			
	EB	WO 96/03984	02/15/96	PCT			
	EC	WO 98/46286	10/22/98	PCT			
	ED	WO 99/21908	05/06/99	PCT			

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	EE	Chaubal et al., "Accelerated Hydrolysis and Erosion Studies of In Vitro Degradation of Polylactofates," Proceed. Int'l. Symp. Control. Rel. Bioact. Mater., 27: 656-657 (2000)	
	EF	DePalma et al., "Polyphosphoester Pacitaxel Microspheres (Paclimer™ Microspheres): In Vitro Characterization Using HPLC and LC/MS," Proceed. Int'l. Symp. Control. Rel. Bioact. Mater. 27:532-533 (2000)	
	EG	Dhanesar et al., "Effect of Size on In Vitro Release of Water Insoluble Drug from Polylactofate Microspheres," Proceed. Int'l. Symp. Control. Rel. Bioact. Mater., 27(2000)	
	EH	Dhanesar et al., "Quantitation of Insulin Released from Polyphosphoester Microspheres," Proceed. Int'l. Symp. Control. Rel. Bioact. Mater., 27:1066-1067 (2000)	
	EI	Feng et al., "Nanospheres of Biodegradable Polymers: A System for Clinical Administration of an Anticancer Drug Paclitaxel (Taxol)," Ann Acad Med Singapore, 29:633-639 (2000)	
	EJ	Kaetsu et al., "Biodegradable Implant Composites for Local Therapy," Journal of Controlled Release, 6:249-263 (1987)	
	EK	Mao et al., "Biodegradable Polymers: Poly(Phosphoester)s," Encyclopedia of Controlled Drug Delivery, Wiley and Sons, pp. 45-60 (1999)	
	EL	Mao et al., "Design of New Biodegradable Polymers Based on Chain-Extension of Oligomeric Lactides by Phosphates," Proceedings of the Topical Conference on Biomaterials Carriers for Drug Delivery and Scaffold for Tissue Engineering, Peppas, N.A. et al., eds. Los Angeles, CA, pp. 193-195 (1997)	



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 3

**Complete if Known**

Application Number	10/500,795
Filing Date	January 9, 2003
First Named Inventor	Wenbin Dang
Art Unit	Not yet known
Examiner Name	Not yet known
Attorney Docket Number	GPT-030.01

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	EM	Sharma et al., "Antitumor Efficacy of Taxane Liposomes on a Human Ovarian Tumor Xenograft in Nude Athymic Mice," Journal of Pharmaceutical Sciences, Vol. 84(12):1400-1404, December (1995)	
	EN	Sharma et al., "Novel Taxol® Formulation: Polyvinylpyrrolidone Nanoparticle-Encapsulated Taxol® for Drug Delivery in Cancer Therapy," Oncology Research, 8(7/8):281-286 (1996)	
	EO	"Update on Medicare National Coverage of Routine Costs of a Clinical Trial," Johns Hopkins Medical Research, On-Line, 7-31-02	
	EP	Williams et al. "Combined intracranial iudr polymers and 125-I seeds for radiosensitization of experimental malignant glioma brachytherapy," American Society for Therapeutic Radiation and Oncology Abstract Annual Meeting 1997	
	EQ	Williams et al., "Controlled Release of Radiochemicals from Implantable Biodegradable Polymer Devices," Society Nuclear Medicine Abstract	
	ER	Williams et al., "Implantable Biodegradable Polymers for IUdR Radiosensitization of Experimental Human Malignant Glioma," Journal of Neuro-Oncology 32:181-192 (1997)	
	ES	Williams et al. "Implantable Biodegradable Polymers for Radiosensitization of Human Glioma in Vivo," American Society for Radiation Therapy and Oncology: Abstract Annual Meeting 1997	
	ET	Williams et al. "Implantable Biodegradable Polymers for IUdR Radiosensitization of Human Glioma in Vivo," American Society for Therapeutic Radiation and Oncology: Abstract Annual Meeting 1997	
	EU	Williams et al., "Implantable Biodegradable Polymers for IUdR Radiosensitization of Human Malignant Glioma In Vivo," American Radium Society Abstract: Podium Presentation 1996 San Francisco	
	EV	Williams et al., "Implantable Biodegradable Polymers for IUdR Radiosensitization of Human Glioma In Vivo," American Society for Clinical Oncology Annual Meeting 1995 Poster Presentation (Abstract)	
	EW	Williams et al., "Polymers for IUdR Radiosensitization of Experimental Glioblastoma," Congress of Neurological Surgeons Abstract: 1997	
	EX	Williams et al., "Polymers for IUdR Radiosensitization of Experimental Glioblastoma," Society Neuro Oncology Abstract: Post November 1997 Meeting	
	EY	Zhao et al., "In Vitro Degradation Studies of Polilactofates-A Copolymer of Lactide and Phosphate," Proceed. Int'l. Symp. Control. Rel. Bioact. Mater. 27:652-653 (2000)	